

O I P E

JUN 25 2006

IPW

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

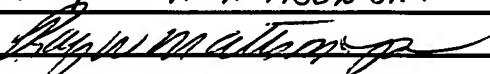
Application Number	10/808,500
Filing Date	
First Named Inventor	Roy W. MATSON ET. AL.
Art Unit	3757
Examiner Name	
Attorney Docket Number	2 M 490

25

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	ROY W. MATSON JR.
Signature	
Date	

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	ROY W. MATSON JR.
Signature	
	Date 6-2-04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

RM 490

In Re Application Of: **Mattson et al.**

Serial No.

10/808/500

Filing Date

Examiner

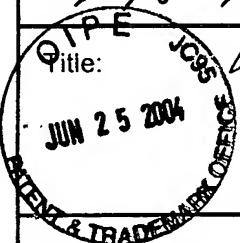
Group Art Unit

375

TYPE

WATER SUCTION PURIFICATION DEVICE

JUN 25 2004



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of 51

Complete if Known

Application Number	10/808,500
Filing Date	
First Named Inventor	ROY MATTSON
Art Unit	377 3751
Examiner Name	

Attorney Docket Number

RM 496

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,013,417	05-07-1991	JUDD, JR.	
		US- 4,769,119	09-06-1988	GRUDLER	
		US- 4,710,282	10-01-1987	CHAK, ET AL	
		US- 4,525,272	06-25-1985	HENSON	
		US- 4,262,114	04-21-1981	SHINODA	
		US- 4,239,990	10-02-1980	IC1086	
		US- 4,121,991	10-24-1978	MILLER, ET AL	
		US- 4,089,768	05-16-1978	FISCHER, ET AL	
		US- 3,891,535	06-24-1975	WIKEY	
		US- 3,654,119	04-04-1972	GOSSON WHITE ET AL	
		US- 3,222,269	10-07-1965	STANTON ET AL	
		US- 1,862,663	06-14-1932	THOMAS J. CURTIS	
		US- 5,192,452	03-09-1993	MITSUI, ET AL.	
		US- 4,724,079	02-09-1988	SALE, ET AL.	
		US- 4,416,954	11-22-1983	NIELSEN	
		US- 5,543,040	08-06-1996	FITE, JR ET AL	
		US- 10/197/837	2004	MATTSON ET AL	
		US- 10/197/851	2004	MATTSON ET AL	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/1808,500
				Filing Date	12/04/2007
				First Named Inventor	3751
				Group Art Unit	3751
				Examiner Name	
Sheet	2	of	4	Attorney Docket Number	RM 490

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. 1	U.S. Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number			
		5,457,826	HARAGA, ET AL	10-17-95	
		5,404,598	HAO, SEI	04-11-95	
		5,289,554	MAIDSON, JR	03-01-94	
		5,279,003	GADE, ET AL	01-18-94	
		5,245,714	HARAGA, ET AL	10-21-93	
		5,245,224	SCHMITZ, ET AL	11-14-93	
		5,031,255	HILGER, ET AL	06-16-91	
		5,012,535	KLOTZ BACH	05-07-91	
		4,979,848	GANDINI	12-25-90	
		4,953,240	GARDENIER	10-4-90	
		4,947,068	HOWARD, ET AL	08-07-90	
		4,919,160	PIERCE	04-24-90	
		4,918,712	DESUSA, ET AL	04-24-90	
		4,901,926	KLOTZ BACH	02-20-90	
		4,901,379	CHALBERG, ET AL	02-20-90	
		4,884,834	VAGO	10-30-89	
		4,860,392	GARDENIER, ET AL	08-29-89	
		4,823,413	CHALBERG, ET AL	04-25-89	
		4,754,502	BOWEN	06-05-88	
		4,676,894	DI MEO, ET AL	06-30-88	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box →

PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 4

Complete if Known

Application Number 101808,500

Filing Date

First Named Inventor ROY MAFFISON

Group Art Ur

Examiner Name _____

Attorney Docket

Attorney Docket Number K 11 490

Sheet 3 of 4

Attorney Docket Number 8M490

U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS					
Examiner Initials'	Cite No. ¹	U.S. Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number			
		D 456,881	THOMAS ET AL	05-07-02	
		D 455,820	THOMAS ET AL	05-07-02	
		6,357,060	GL000T	03-19-02	
		D 453,835	JACUZZI ET AL	02-19-02	
		6,334,224	CHALBERG ET AL	01-01-02	
		6,327,781	DEVEREAUX ET AL	12-11-01	
		6,279,177	GL000T	08-28-01	
		6,254,014	CLEARMAN ET AL	06-03-01	
		6,134,722	LOWRY ET AL	10-24-00	
		6,102,657	CHALBERG ET AL	08-15-00	
		6,052,844	WALSH ET AL	04-25-00	
		6,038,712	CHALBERG ET AL	03-31-00	
		5,896,597	KORTH ET AL	04-27-99	
		5,828,746	MC CANN	03-09-99	
		5,862,545	MATHIS ET AL	01-26-99	
		5,822,807	GALLAGHER ET AL	10-09-98	
		5,704,079	DESNOVENS	01-06-98	
		5,608,927	LOWRY ET AL	03-11-97	
		5,570,481	MATHIS ET AL	11-05-96	
		5,514,078	PALOMER	05-07-96	

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Complete if Known

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

4

of 4

Application Number	101808-500
Filing Date	03-10-2004
First Named Inventor	ROY MATTISON
Art Unit	3707
Examiner Name	
Attorney Docket Number	RM490

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-101797,837	03-10-2004	MATTISON ET AL	
		US-101797,252	03-16-2004	MATTISON ET AL	
		US-69,499,585	09-09-2003	MATTISON ET AL	
		US-4,309,992	1/12/1982	DODAK, ET AL	
		US-4,604,387	3/12/1987	LEMINDE, ET AL	
		US-4,857,112	8/15/1989	FRANNIALE	
		US-4,976,242	12/25/1990	GANDINI	
		US-101841,925		MATTISON ET AL	
		US-3003,0113378		LARISON ET AL	
		US-6,030,632	2/29/2000	SAWAN ET AL	
		US-5,866,545	11/24/1999	MATTISON ET AL	
		US-5,444,529	8/15/1995	DOOSCH	
		US-5,383,239	1/24/1995	MATTISON ET AL	
		US-6,199,224	3/13/2001	PATIL	
		US-6,283,308	9/11/2001	PATIL ET AL	
		US-101459,665		GRAIG SELOUAN	6/11/2003
		US-6,751,814	6/12/2004	MATTISON ET AL	
		US-			

FOREIGN PATENT DOCUMENTS

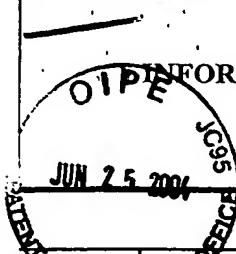
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		DE 199 610 49 21	1/31/2001			

Examiner Signature		Date Considered
--------------------	--	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

(Use several sheets if necessary)

JUN 25 2004

Docket Number (Optional)

EN490

Applicant(s)

Filing Date:

Application Number

10/808.1.530

U.S. PATENT DOCUMENTS

EXAMINER INT'L TRADE MARKS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,242,2183	1-14-1969	HAL J. ET/11600			
	3,702,298	11-07-72	25 SOLDAS JR ET/AL			
	4,180,473	12-25-79	MAVIERE ET AL			
	5,078,902	1-7-1992	ANTELMAN)			
	5,332,511	7-26-94	GRAY ET AL			
	5,464,559	11-7-1995	MARCHIUS ET/AL			
	6,017,461	1-25-00	GAUEY ET AL			
	20020070178A1	6-13-02	STEFFENS ET/AL			
	5,347,664	9-20-94	HAMZA ET AL			
	4,764,208	8-2-88	GRAM ET AL			
	4,337,136	6-29-82	DAHIGREN)			

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

Photographs of Mattson Prototypes - taken by Roy Mattson
9 pages

ALMOST HEAVEN IONIZER 9 pages showing
AN. IONIZER FOR A SPA

EXAMINER

DATE CONSIDERED

XAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.